

# THE SATURATION EFFECT OF THE POYNTING FLUX INTO THE MAGNETOSPHERE DURING SUPERSTORMS: RESULTS OF MIT AND THE GLOBAL PPMLR-MHD MODEL

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**Abstract.** We study the effect of the slowing growth of the polar cap magnetic flux and the electromagnetic energy flux into the magnetosphere from the solar wind (SW) during the superstorm significant strengthening. This saturation effect is found not only depending on the SW electric field  $E_{sw}$  and / or on the southward IMF component, but also on the SW dynamic pressure  $P_d$ . Analytically and numerically we have been shown the existence of another saturation effect—slowing the dayside magnetosphere compression upon reaching high values of  $P_d$  and negative  $B_z$ . The both saturation effects are supposed to be associated with each other for superstorm conditions.

## Introduction

Two important parameters characterize the efficiency of the SW–magnetosphere coupling: the cross polar cap voltage  $U_{PC}$  and the polar cap magnetic flux  $\Psi$ . A large number of papers were devoted to the saturation effect of the polar cap potential  $U_{PC}$  and magnetospheric convection – slowing down their growth upon reaching high values  $E_{sw}$  ( $E_{sw}=3\text{-}5 \text{ mV/m}$ ) and its further increasing. This effect has been confirmed by various measurement techniques and modelling.  $U_{PC}$  reaches an upper limit or saturates for increasing of large  $E_{sw}$  values [e.g. Borovsky *et al.*, 2009; Kan *et al.*, 2010; Lyatsky *et al.*, 2010; Gao *et al.*, 2013]. Merkin *et al.* [2007] and Lopez *et al.* [2009] demonstrated that the polar cap magnetic flux also saturates (at around 1 GWb) as the polar cap potential saturates. Transpolar potential saturation is the limit on transpolar potential that corresponds to the ram-pressure limit on total region 1 current [Siscoe *et al.*, 2002]. Because during superstorms both  $E_{sw}$  and  $P_d$  reach unusually high values, there is a question about the influence of  $P_d$  on the saturation level during superstorms. However, all above studies including Siscoe *et al.* [2002] have not obtained the saturation effect depending on the SW dynamic pressure. Merkin *et al.* [2007] obtained the saturation as consequence of the nightside reconnection point motion towards the inner plasma sheet boundary. However, these authors as also Siscoe *et al.* [2002] supposed that the dayside magnetosphere can also play very important role in the saturation effect and wanted to study this possibility but did not do yet. This task was carried out in [Karavaev *et al.*, 2012 a, b], where by the method of magnetogram inversion technique (MIT) of ground-based magnetometers, we found the saturation effect of the polar cap magnetic flux, depending on the SW dynamic pressure  $P_d$  from data of the superstorms (24-25) September 1998 and 20 November 2003. In the present study, these results are generalized, involving also the (6-7) April 2000 superstorm data. In addition, we use the results of numerical modeling of the global PPMLR–MHD model of the magnetosphere for the 20

November 2003 superstorm. We compare the graphs of variations of the energy flux into the magnetosphere, depending on the SW parameters  $E_{sw}$ ,  $B_z$ , and  $P_d$  obtained by the two methods.

Moreover, we carried out an analytical and numerical analysis of the dependence of the subsolar magnetopause point position, on  $P_d$  as well as on the southward IMF component. It is shown a presence of saturation effects, not only of the magnetic flux  $\Psi$  and the corresponding energy flux through the polar cap, but also of the dayside magnetopause position - the suspension of its compression at strengthening SW. It is suggested that both effects are interrelated.

We do not consider here other possible important effects in this study, which may also change the saturation level of  $U_{PC}$  and  $\Psi$ , for example, the ionosphere and SW conductivity effects [e.g. Lyatsky *et al.*, 2010; Kan *et al.*, 2010, Gao *et al.*, 2013].

## The database and processing methods

We use data of the superstorms: 24 September 1998, 6 April 2000 and 20 November 2003. The main input for the magnetogram inversion technique (MIT) [e.g. Mishin, 1990] are data of more than 110 ground magnetometers of the Northern Hemisphere.

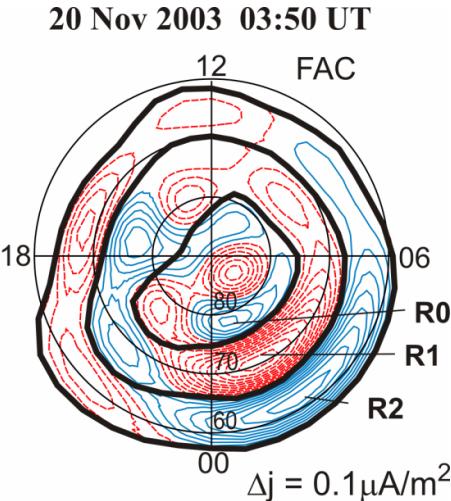
**Table 1.** SW conditions and geomagnetic indexes

Date\ Parameters	AE, nT	SYM-H, nT	Bz, nT	By, nT	P <sub>d</sub> , nPa
24-25 Sept. 1998	73 ÷ 2865	≤ -300	-23 ÷ 23	-13 ÷ 39	0.5 ÷ 28
6-7 Aug. 2000	22 ÷ 2000	≤ -300	-30 ÷ 21	-30 ÷ 8	0.7 ÷ 25
20 Nov. 2003	13 ÷ 3250	≤ -450	-53 ÷ 30	-26 ÷ 44	1.5 ÷ 26

Table 1 shows the range of variations of the observed SW parameters and AE index, and also the minimum value of the SYM-H index for the three superstorms above. Abnormally strong values of IMF and  $P_d$  caused the appropriate activity of the aurora and ring current: AE-

index reached, respectively,  $\sim 2000$ ,  $1750$  and  $3000$  nT, and the latitude of the polar cap boundary lowered to  $60^\circ$ .

Fig. 1 shows an example of maps of field-aligned currents (FAC) density distribution in the polar ionosphere, obtained by MIT for the 20 November 2003 superstorm. Thick solid lines show the boundaries of the Iijima–Potemra regions R0, R1, R2. The polar cap R0 is inside the region R1. On the basis of such maps we obtained time series  $\Psi_1(t)$ , where  $\Psi_1 = \Psi - \Psi_0$  – is the value of the total open magnetic flux  $\Psi$  minus its value before the substorm  $\Psi_0 = \text{const}$  [Mishin *et al.*, 2014]. The polar cap magnetic flux  $\Psi$  in MIT is calculated as a product  $\Psi = B \cdot S$ , where  $B=0.6$  Gs is the average geomagnetic field in a polar ionosphere, and  $S$  is the polar cap area. Further, in MIT the Poynting flux is calculated as a function of the magnetic flux  $\epsilon' \sim (\Psi_1)^2$  through the area of the polar cap  $S$  obtained from the FAC maps [Mishin *et al.*, 2014], rather than through the expected unmeasurable length of an reconnection line  $l_0$  at the magnetopause as in the method by Akasofu [Perreault and Akasofu, 1978].



**Figure 1.** Maps of field-aligned current (FAC) density in the northern high-latitude ionosphere in geomagnetic dipole coordinates (MLT- latitude) obtained by magnetogram inversion technique (MIT) with 1-min resolution. Downward (upward) FACs are shown by dashed (solid) lines, borders between FAC zones R<sub>1</sub>, R<sub>2</sub>, R<sub>0</sub> – by thick lines. R<sub>0</sub> – polar cap.

In the global PPMLR- MHD simulation model [Hu *et al.*, 2009; Wang *et al.*, 2014] the basic input data are the SW parameters. The energy flux from SW to the magnetosphere is determined through a normal component of the velocity and magnetic field vectors at the magnetopause and its area. The total energy flux Q<sub>T</sub> includes in addition to the Poynting flux Q<sub>elm</sub> also the thermal and kinetic energy fluxes.

Unlike satellite statistical data averaged over intervals of about an hour, we use the original MIT methods for determining  $\Psi$  (with a sampling interval of  $\geq 1$  min) [Mishin *et al.*, 2014] and for identifying hidden dependencies of  $\Psi$  on the variables E<sub>sw</sub>, P<sub>d</sub>, and AE-index [e.g. Mishin, 1990].

## The method of maximal contributions

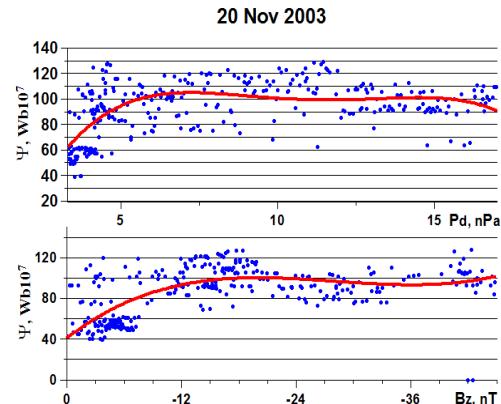
We use this method for a detection and separation in time of hidden dependencies of the open magnetic flux  $\Psi_1$  (E<sub>sw</sub>, P<sub>d</sub>) obtained from observations [e.g. Mishin, 1990]. We assumed that the values  $\Psi_1$  are controlled mainly by AE-index values and by the SW parameters E<sub>sw</sub> and P<sub>d</sub>. This method provides solving systems of the linear algebraic equations, including those used in the present paper, having the form:

$$\Psi_i = A_1 \cdot E_i + A_2 \cdot P_i + A_3 \cdot AE_i \quad (1)$$

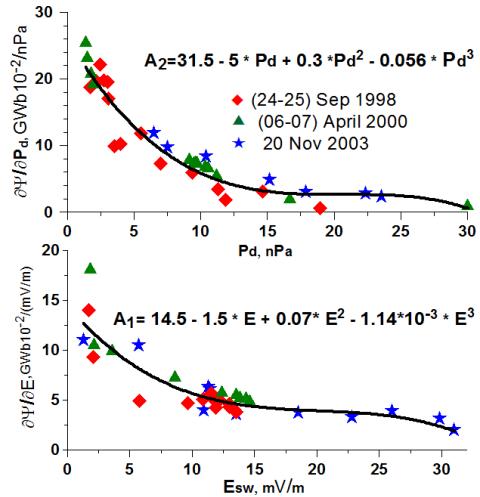
where i = 1, k is the number of each time instant in the time interval considered, k - the quantity of these instants for this interval (their centers are shown by three different symbols in Fig. 3).

## The polar cap magnetic flux $\Psi_1$ variation

Fig. 2 shows the saturation of the open magnetic flux  $\Psi_1$ , calculated by MIT during the growth of the southward IMF and Pd for the 20 November 2003. superstorm.



**Figure 2.** “New” polar magnetic flux  $\Psi_1 = \Psi - \Psi_0$  as a function of (a) the SW dynamic pressure Pd and the IMF B<sub>z</sub> (b). Here  $\Psi_0=0.35$  GWb – the prestorm  $\Psi$  value.

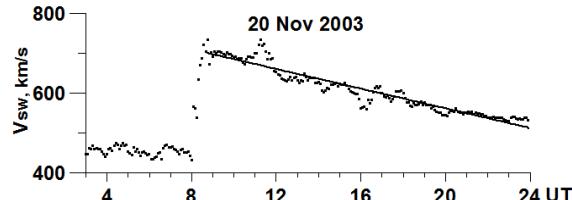


**Figure 3.** Derivatives  $\partial\Psi/\partial P$  и  $\partial\Psi/\partial E$  as a functions of P<sub>d</sub> and E<sub>sw</sub> for the three superstorms.

The dispersion is due to the fact that the flux  $\Psi_1$  is a function of many SW parameters. In order to separate two processes of saturation  $\Psi_1$  (B<sub>z</sub>) and  $\Psi_1$  (P<sub>d</sub>) in time we have

used the method of maximal contributions with short intervals  $\Delta x_i \leq 20$  min and obtained a series of derivatives  $\partial\Psi / \partial P_d$  and  $\partial\Psi / \partial E_{sw}$  depending on  $P_d$  and  $E_{sw}$ .

Fig. 3 shows graphs of these dependencies, built from three superstorms data. Saturation processes of  $\Psi_1$  ( $B_z$ ) and  $\Psi_1$  ( $P_d$ ) are separated in time and can occur independently. Results for the three discussed events fit together and complement each other. The derivatives decrease exponentially when the value  $E_{sw}$  and  $P_d$  continues to grow, confirming the  $\Psi_1$  saturation effect for the strengthening SW. The SW electric field and the dynamic pressure depend on the SW velocity  $V_{sw}$ . In this regard, a question may arise about dependence from each other of parameters  $P_d$  and  $E_{sw}$ . Fig. 4 on an example of the 20 November 2003 event shows that for the interval (08:45 – 24:00) UT the SW velocity  $V_{sw}$  decreases very slowly. Our analysis has shown that in this interval the field  $E_{sw}$  variation is mainly determined by the change of non-radial IMF  $B = (B_y^2 + B_z^2)^{1/2}$  (correlation coefficient  $K_{cor}(E_{sw}, B) = 0.87$ ), and the change in  $P_d$  - change in the density  $n$  ( $K_{cor}(P_d, n) = 0.93$ ). Thus the  $E_{sw}$  and  $P_d$  parameters can be considered independent on the whole interval superstorm (except SSC, when at the same time all the parameters change on the front SW inhomogeneity) and speak about the saturation of the polar cap magnetic flux and the Poynting flux  $\varepsilon'$  from both of them separately.



**Figure 4.** Solar wind velocity variation during the superstorm.

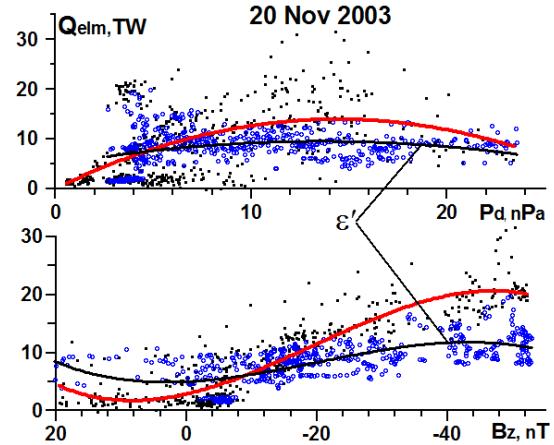
Thus, the MIT results indicate the presence of  $\Psi$  saturation under superstorm with continuous increase of not only  $E_{sw}$ , but also  $P_d$ . Simultaneously with the saturation of  $\Psi$  there is slowdown of the Poynting flux  $\varepsilon'$  from SW to the polar ionosphere.

### Poynting flux: Comparison with MHD model

Now we compare the results of MIT and the global PPRML MHD model. For the 20 November 2003 superstorm graphs of variation of a total energy flux and electromagnetic energy flux  $Q_{elm}$  (Poynting flux) were calculated by the PPMLR MHD model.

Fig. 5 shows the variation of the only electromagnetic flux  $Q_{elm}$ , as its contribution to the total flux  $Q_{total}$  amounted to more than 90%. It can be seen that: 1) for small values of  $P_d < 5$  nPa and IMF  $B_z > -10$  nT there is a growth of the power, and its values obtained by two models are close; 2) reaching a maximum at the  $P_d \geq (12-14)$  nPa, the  $Q_{elm}$  flux does not increase further; 3) an increase in the flux depending on the southward IMF slows when  $B_z < -30$  nT and almost stops at values  $B_z \leq -40$  nT, rarely observed during superstorms. For  $B_z <$

20 nT, about half power, transported into the magnetosphere, penetrates into the polar cap ( $\varepsilon' \sim 0.5 Q_{elm}$ ).



**Figure 5.** Variations of the Poynting flux: into the magnetosphere -  $Q_{elm}$  (black dots and their approximating thick curves, obtained by the MHD model) and into the polar cap  $-\varepsilon'$  (circles, thin approximating lines, obtained by MIT) as a function of the dynamic pressure  $P_d$  and IMF  $B_z < 0$ .

### On the saturation effect and finite compressibility of the magnetopause

#### a) Effect of $P_d$ on the magnetopause position

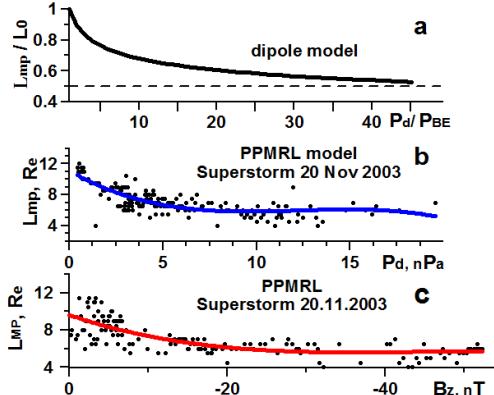
Reasonably to assume that the displacements of the polar cap boundary and of the magnetopause are interrelated. From the equilibrium condition of the magnetopause - balance of the total pressure  $P + B^2/2\mu_0 = const$ , it is easy to see that its compression with increasing pressure  $P_d$  rapidly slows down and under values typical for superstorms practically stops. It means that magnetopause nose position has minimal limit. For compressed dipolar field  $B(r) \approx 2B(R_E)/r^3$  to reduce subsolar relative radius  $L / L_0 = r_{mp}/R_0 (R_0 \approx 10R_E)$  from 10 to 5 (i.e. half) it is necessary to overcome geomagnetic pressure  $P_{BE}(r) \sim 1/r^6$ , i.e. to increase  $P_d$  in  $2^{6/3} = 64$  times. Fig. 6 show very slow magnetopause inward motion for  $P_d / P_{BE}(r_0) > 10$ .

#### b) Effect of the southward IMF

If we following to Kovner and Feldstein [1972], assume that the southward IMF penetrates by diffusion inside the magnetopause and reduce geomagnetic field, it also results in the dayside magnetopause inward movement to restore the pressure balance (another possible reason is the negative feedback effect of the Region1 FACs on the  $B_E$  value at the dayside magnetosphere [Maltsev and Lyatsky, 1975; Sibeck et al., 1991; Siscoe et al., 2002]). But it is also easy to see, that the compression of the magnetosphere decelerates quickly at the amplification of both  $P_d$  and negative IMF  $B_z$ . Analogical behavior is seen for the polar cap magnetic flux  $\Psi$ . Fig. 6 shows that for the powerful superstorm, saturation effect—a growth slowing occurs since values  $B_z < -30$  nT and  $P_d > 10$  nPa.

Thus, even for stronger superstorm SW parameters, it is practically impossible to compress the magnetosphere more than twice. That is why there must be an upper limit of the magnetic flux transfer into the polar cap.

The MHD model calculations for steady conditions made for the  $B_z$  values from  $B_z = -5$  nT to  $B_z = -20$  nT, gave a permanent  $L_{mp}$  decrease and  $Q_{el}$  growth with no signs of a saturation. This is contrary to the actual observed saturation of  $U_{PC}$  and  $\Psi$  [e.g. Lopez *et al.*, 2007; Borovsky *et al.*, 2009].



**Figure 6.** (a) Dipole model: the relative subsolar magnetopause distance as a function of the relative SW pressure  $P_d/ P_{BE}(r_0)$  changing from 1 up to value  $2^6$ , when  $L/L_0=1/2$ . (b, c) MHD model:  $L_{mp}$  as a function of  $P_d$  (b) and  $B_z$  (c) during the superstorm. Solid line—approximating curve.

Possible reasons for this are rather long period (several hours) required to meet the steady condition, that for the actual conditions during superstorms is not realized; and that the real saturation level is below  $B_{z\min}=-20$  nT and was not achieved.

## Conclusions

Comparison of variations of the Poynting flux into the magnetosphere obtained by MIT and the MHD model for the 20 November 2003 event, has shown:

- In the initial phase of the storm, when the  $P_d$  and southward IMF values were small ( $P_d < 4$  nPa,  $B_z < -5$  nT), input power values were almost the same;
- With the increasing of  $P_d$  and southward IMF, there is a growth of the Poynting flux. This growth begins to slow down when  $P_d \sim (5+8)$ , and when  $P_d > 14$  nPa it stops; the Poynting flux increase depending on the southward IMF slows down when  $B_z < -20$  nT and almost stops at  $B_z \leq -40$  nT, rarely observed for superstorms, while about half of the power transferred into the magnetosphere gets into the polar cap ( $\epsilon' \sim 0.5 Q_{elm}$ ).

We assume that the saturation effect of the  $\Psi$  and Poynting fluxes are associated with the slowing of both the magnetosphere compression and the polar cap expansion at significant SW strengthening.

The PPMRL-MHD model shows the saturation effect for superstorm conditions, but does not show it in steady conditions for  $B_z > -20$  nT.

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